

## 6.0 Relational Database Design

---

### 6.0 Relational Database Design

#### 6.1 Pitfall of relational model

- The main goal of relational database is to create / find good collection of relational schemas. Such that database should allow us to store data / information without unnecessary redundancy and should also allow to retrieve information easily. That is the goal of relational database design should concentrated
  - To avoid redundant data from database.
  - To ensure that relationships among attributes are represented.
  - To facilitate the checking of updates for the violation of database integrity constraints.
- A bad relational database design may lead to:
  - Repetition of information.
    - That is, it leads data redundancy in database, so obviously it requires much space.
  - Inability to represent certain information.

Example 1: Consider the relational schema

Branch\_loan = ( branch\_name, branch\_city, assets, customer\_name, loan\_no, amount)

| branch_name | branch city    | assets | customer name | loan no | amount |
|-------------|----------------|--------|---------------|---------|--------|
| kathmandu   | baneshwor      | 25000  | rohan         | L - 15  | 3000   |
| Lalitpur    | patan          | 19000  | mohan         | L - 17  | 5000   |
| Kathmandu   | baneshwor      | 25000  | raju          | L - 19  | 10000  |
| Pokhara     | Prithibi nagar | 17000  | manoj         | L - 10  | 7000   |
| Lalitpur    | patan          | 19000  | swikar        | L - 30  | 9000   |

#### **Redundancy:**

- Data for branch\_name, branch\_city, assets are repeated for each loan that provides by bank.
- Storing information several times leads waste of storage space / time.
- Data redundancy leads problem in Insertion, deletion and update.

#### **Insertion problem**

- We cannot store information about a branch without loan information, we can use null values for loan information, but they are difficult to handle.

#### **Deletion problem**

- In this example, if we delete the information of Manoj (i.e. DELETE FROM branch\_loan WHERE customer\_name = 'Manoj;'), we cannot obtain the information of pokhara branch (i.e. we don't know branch city of pokhara, total asset of pokhara branch etc.

#### **Update problem**

- Since data are duplicated so multiple copies of same fact need to update while updating one. It increases the possibility of data inconsistency. When we made update in one copy there is possibility that only some of the multiple copies are update but not all, which lead data/database in inconsistent state..

## 6.0 Relational Database Design

---

### 6.2 Decomposition

The idea of decomposition is break down large and complicated relation in no. of simple and small relations which minimized data redundancy. It can be consider principle to solve the relational model problem.

#### Definition

The decomposition of relation schema  $R = (A_1, A_2, \dots, A_n)$  is a set of relation schema  $\{R_1, R_2, \dots, R_m\}$ , such that  $R_i \subseteq R \forall 1 \leq i \leq m$  and  $R_1 \cup R_2 \cup \dots \cup R_m = R$ .

That is all attributes of an original schema (R) must appear in the decomposition ( $R_1, R_2$ ). That is,  $R = R_1 \cup R_2$ . if  $R \neq R_1 \cup R_2$  then such decomposition called lossey join decomposition. That is,  $R \neq \Pi_{R_1}(R) \bowtie \Pi_{R_2}(R)$ . Decomposition should **lossless join decomposition**.

A decomposition of relation schema R into  $R_1$  and  $R_2$  is lossless join iff at least one of the following dependencies is in  $F^+$ .

$$R_1 \mid R_2 \rightarrow R_1$$

$$R_1 \mid R_2 \rightarrow R_2$$

Example 1: The problems in the relational schema branch\_loan (illustrated in above example) can be resolved if we replace it with the following relation schemas.

Branch (# branch\_name, branch\_city, assets)

Loan (customer\_name, loan\_number, branch\_name, amoun)

Example 2: Consider the relation schema to store the information a student maintain by the university.

Student\_info (#name, course, phone\_no, major, prof, grade)

| name   | course | phone_no | major            | prof   | grade |
|--------|--------|----------|------------------|--------|-------|
| John   | 353    | 374537   | Computer Science | Smith  | A     |
| Scott  | 329    | 427993   | Mathematics      | James  | S     |
| John   | 328    | 374537   | Computer Science | Adams  | A     |
| Allen  | 432    | 729312   | Physics          | Blake  | C     |
| Turner | 523    | 252731   | Chemistry        | Miller | B     |
| John   | 320    | 374537   | Computer Science | Martin | A     |
| Scott  | 328    | 727993   | Mathematics      | Ford   | B     |

#### Problems:

#### Redundancy:

- Data for major and phone\_no of student are stored several times in the database once for each course that is taken by a student.

#### Complicates updating:

- Multiple copies of some facts may lead to update which leads possibility of inconsistency.
- Here, change of phone\_no of John is required we need to update three records (tuples) corresponding to the student John. If one of the three tuples is not changed there will be inconsistency in the date.

## 6.0 Relational Database Design

---

### Complicate insertion

- If this is only the relation in the database showing the association between a faculty member and the course he / she teaches, then the information that a given professor is teaching a given course cannot be entered in the database unless a student is registered in the course.

### Deletion Problem:

- If the only one student is registered in a given course then the information as to which professor is offering the course will be lost if this is only the relation in the database showing the association between the faculty member and the course he / she teaches. If database have another relation that establishes the relationship between a course, the deletion of 4<sup>th</sup> & 5<sup>th</sup> tuple in this relation will not cause the information about the courses taught to be lost.

### Solution?

#### ⇒ Decomposition

The problems in this relation schema student\_info can be resolved if we do it with the following relation schemas.

Students (#name, phone\_no, major)

Transcript (#name, course, grade)

Teacher (course, prof)

- Here, first relation schema gives the phone number and major subject of each student such information will be stored only once for each student. Thus, any changes in the phone number will thus require changes in only one tuple of this relation
- The second relation schema 'TRANSCRIP' stores the grade of each student in each course. So, to insert information about student phone number, major and the course taught by which the professor.
- Third relation schema records the teacher of each course.

### What is the problem of such decomposition?

- One of the disadvantages of original relation schema 'student\_info' with these true relational schemas is that retrieval of certain information required to be performed natural join operation.
- We know that to resolve the problem of bad relational database design we need to decompose relation but the problem is that how to decompose relation. That is, we require to process decomposition of relation that may give good relational database. Normalization gives the approach for designing the best relational database under the five normal forms.

## **6.0 Relational Database Design**

---

### **6.3 Normalization:**

Normalization is the process of reducing redundant data / information in the database by decomposing the long relation. It is an approach for designing reliable database system. Normalization theory is built under the concept of the normal form. There are several normal form called

- First Normal Form. (1NF)
- Second Normal Form (2NF)
- Third Normal Form (3NF)
- Fourth Normal Form (4NF)
- Fifth Normal Form (5NF)

A relation is said to in particular normal form if it satisfy the set of this particular normal form if it satisfy the set of this particular normal form's constraints. In practical, third normal form is sufficient to design reliable database system.

Normalization theory is based on:

- Functional dependencies
- Multi valued dependencies.

#### **6.3.1 Needs of normalization**

In database, there is considerable amount of data redundancy and also serious insertion, deletion and update. Thus to reduce the data redundancy as far as possible and for easy insert, delete and update operation in relational database system we require normalization. It does not allow any inconsistency in database system.

#### **6.3.2 Objectives of Normalization**

Dr. codd suggested five normal form for relational database design without data redundancy and serious insertion, update and deletion. According to him, the objectives of normalization are:

1. To free a collection of relation from undesirable insertion, update and deletion.
2. To move the relational model more informative to use.
3. To increase the lifetime of application programs.

#### **6.3.3 Properties of relation after normalization**

1. No data value should be duplicate in different row or tuple.
2. A value must be specified for every attribute in a tuple.
3. Important information should not be accidentally lost.
4. When new data / record are inserted to relation , other relation in database should not be affected

## 6.0 Relational Database Design

---

### 6.3.4 Non -Normalized Relation

A relation schema R is said to be non-normalized relation if any domain of attributes of R are non atomic.

Example:

| faculty  | prof | course                |             |
|----------|------|-----------------------|-------------|
|          |      | course_name           | department  |
| computer | X    | DBMS                  | Computer    |
|          |      | Software Engineering  | Computer    |
|          |      | Microprocessor        | Electronics |
|          | Y    | Mathematical Analysis | Math        |
|          |      | Probability Theory    | Stat        |
| Physics  | Z    | Modern Physic         | Physics     |
|          | Z    | Dynamics              | Physics     |
|          | P    | Vector Analysis       | Math        |

Figure: non-normalized relation

- Here, the attribute of relation schema, that is course is divided into course\_name and department. That is, domain of attribute course is non atomic.
- Here, each row contains multiple set of values.

### 6.3.5 Key and non key attribute (prime and non prime attribute)

An attribute A in relation R is said to be key attribute if A is any part of candidate key; otherwise A is called non key attribute.

Example: Consider a relational schema 'student\_course\_info'

Student\_course\_info=(#name,course,grade,phone,major,department)

With the following FDs

F={name→phone\_no,major, course Mathdepartment, name,course Mathgrade}

- Here, name and course are key attributes and phone\_no, major and department are non key attribute.

Example: Let R=(A,B,C,D,E) and FDs F={AB →C,B →D,C→E}

- Here AB is composite primary key (composite candidate key) so attribute A and B are key attributes and attributes C, D and E are non key attributes.

## 6.4 Normal Forms

### 6.4.1 First Normal Form

- A relation schema R is said to be in first normal form if domain of all attributes of R are atomic. That is, for any relational schema R to be in first normal form, each attribute of relation not divisible and each row must contain single set of values for all attributes.
- The previous relational schema 'course\_info' can be covert into first normal form as below

## 6.0 Relational Database Design

---

| prof | course_name           | faculty  | department  |
|------|-----------------------|----------|-------------|
| X    | DBMS                  | computer | Computer    |
| X    | Software Engineering  | computer | Computer    |
| X    | Microprocessor        | computer | Electronics |
| Y    | Mathematical Analysis | computer | Math        |
| Y    | Probability Theory    | computer | Stat        |
| Z    | Modern Physic         | Physics  | Physics     |
| Z    | Dynamics              | Physics  | Physics     |
| P    | Vector Analysis       | Physics  | Math        |

Figure: course\_info relation in first normal form

- First normal form enforce relation in tabular form.  
Here, FDs  $F = \{\text{prof} \rightarrow \text{faculty}, \text{course\_name} \rightarrow \text{department}\}$
- Here key attributes are prof and course and key of relation is  $\{\text{prof}, \text{course\_name}\}$
- The representation of relation 'course\_info' in first normal form has several drawbacks.  
Major problem is data redundancy.

### Data redundancy

- Given professor assigned to corresponding faculty is repeated a number of times.
- Given course offered by corresponding department is repeated number of times.
- Data redundancy leads problem in insertion, deletion and update.

### Update problem

- If professor change faculty to teach then we must change all rows where that professor appears, it may leads inconsistency in database.

### Deletion problem

- If we have to delete the professor who teach only one subject (course\_name) in such case deletion cause the loss of information about the department to which the course belongs.

### Insertion problem

- Suppose department introduce new course (i.e. course\_name) such information can not insert without professor name and assigned faculty. This is possible inserting only null values instead of professor name and his/her assigned faculty.

## 6.4.2 Second Normal Form

- A relation schema R is said to be in second normal form (2NF) if it is first normal form and all non key attributes are fully functionally dependent on relation key (s).
- A second normal form does not allow partial dependency between non key attribute and relation key (s). But it does not enforce that non key attribute may not functionally dependent on another non key attribute. (In fact, 2NF that does not allow such dependency called relation in 3NF).

Example: Let us consider the relation 'student\_info'

Student-info = (#name, course, phone\_no, major, prof, grade)

with the functional dependencies

$F = \{\text{name} \rightarrow \text{phone\_no}, \text{name} \rightarrow \text{major}, \text{course} \rightarrow \text{prof}, \text{name}, \text{course} \rightarrow \text{grade}\}$

- Here, in this relation grade is fully functionally dependent on key (name, course). But phone\_no and major are partially dependent on name and prof and prof is partially dependent on course.
- Since, second normal form does not allow partial dependency so the given relation 'student\_info' is only in 1NF but not in 2NF.

## 6.0 Relational Database Design

---

**Remarks:** The relation can be converted into 2NF as below:

Student-general-info=(name,phone\_mno,major)

Transcript=(#name,course,grade)

Teacher=(#course,prof)

- Here the functional dependencies on relations are as below:

*Student-general-info*

$F = \{ \text{name} \rightarrow \text{phone\_no}, \text{name} \rightarrow \text{major} \}$

Phone\_no and major are fully functional dependent on key 'name' of relation schema 'student-general-info'. So the is in 2NF. Moreover, here is no functional dependency between non key attributes (phone\_no & major). So this relation is also in 3NF (discuss later)

*Transcript*

$F = \{ \text{name}, \text{course} \rightarrow \text{grade} \}$

Here grade is fully functionally dependent on relation key (name, course). So this relation is in 2NF. Moreover, here is no functional dependency between non key attributes because here is only one non key attribute grade.

*Teacher*

$F = \{ \text{course} \rightarrow \text{prof} \}$

Here. Prof is fully functionally dependent on relation key course. So this relation is in 2NF. Moreover, here is no functional dependency between non key attributes. Here is only one non key attributes prof.

### 6.4.3 Third Normal Form

- A relation R is said to be in third normal form if it is in 2NF, every non key attributes in non transitively and fully functionally dependent on the every candidate key.
- That is, relational schema in 3NF does not allow partial dependency, transitive dependency. For any relation that is in 3NF, it must in 2NF, every non key attribute must fully functionally dependent on relation key (s) and relation must not exist partial dependency, transitive dependency and no functional dependency between non key attribute.
- The problems with a relation schema that is not in 3NF (problems with a relational schema in 2NF) are
  - If a relation schema R contains a transitive dependency  $Z \rightarrow X \rightarrow A$ , we can not insert an values for x in relation along with X value. That is, we can not independently record the fact that for each value of X there is one value of A (insertion problem). Similarly, deletion of a  $Z \rightarrow A$  association also required the deletion of  $X \rightarrow A$  association (deletion problem).
  - If a relation schema R contains a partial dependency (That is, an attribute A dependent on subset X of the key K of R. i.e. K is key of R,  $X \subset K$  and  $X \rightarrow A$ ) then association between X and A (i.e.  $X \rightarrow A$ ) can not express (insert) unless remaining part of K is present in a tuple, remaining part of k must also be express (insert) since K is a key of relation, these part can not be null.
  - In the above relation 'course\_detail'  
 $\text{Course\_detail} = (\# \text{course}, \text{prof}, \# \text{room}, \text{enroll\_limit})$

## 6.0 Relational Database Design

---

There is a transitive dependency,  $\text{course} \rightarrow \text{room} \rightarrow \text{enroll\_limit}$ ). We can eliminate this transitive dependency by decomposing the relation 'course\_detail' into the relations

Course\_prof\_info=(#course,prof,enroll\_limit)  
FDs={course $\rightarrow$ prof,course $\rightarrow$ enroll\_limit}

Course\_room\_info=(course,room)

FDs=no functional dependency exist because course and room both are here foreign key.

That is, all relations in 3NF are as below:

*Given relation in 1NF*

Course\_detail=(#course,prof,room,room\_capacity,enroll\_limit)  
FDs={course $\rightarrow$ (prof,room,room\_capacity,enroll\_limit),room $\rightarrow$ room\_capacity}

*Relations in 2NF*

Course\_detail=(#course,prof,room,emroll\_limit)  
FDs={course $\rightarrow$ prof,course $\rightarrow$ room,course $\rightarrow$ enroll\_limit,room $\rightarrow$ enroll\_limit}

Room\_detail=(#room,room\_capacity)  
FDs={room $\rightarrow$ room\_capacity}

*Relations in 3NF*

Course\_prof\_info=(#course,prof,enroll\_limit)  
FDs={course $\rightarrow$ prof, course $\rightarrow$ enroll\_limit}

Course\_room\_info=(course,room)  
No FDs

Room\_detail=(#room,room\_capacity)  
FDs={room $\rightarrow$ room\_capacity}

## 6.5 Boyce Codd Normal Form (BCNF)

A relation schema R is said to be in Boyce Codd normal form if it is in 3NF and every FDs in F<sup>+</sup> should be in the form X $\rightarrow$ A where X  $\subseteq$  S and A  $\in$  S and at least one of the following condition hold:

- (a) X $\rightarrow$ A is a trivial FD (i.e. A $\in$ X) or
- (b) X $\rightarrow$ R (i.e. X is a superkey of R, here X called determinant of functional dependency X $\rightarrow$ R)

- 
- The BCNF imposes stronger constraints on the type of FDs allow in a relation.
  - It allows only those non trivial functional dependencies whose determinants are candidate sperkeys of relation. But in case of 3NF, it allow non trivial FDs whose determinant is not a candidate superkey if right-hand side of FDs contained a candidate key.
  - That is BCNF enforce more stronger constraints than 3NF.

Example: Let us consider the relation schema "grade" which is in 3NF.

Grade=(#name,student\_id,course,grade)



## 6.0 Relational Database Design

---

- Assume that , each student has unique name and unique student\_id then set of FD  
FDs={name,course →grade,  
student\_id,course→grade,name→student\_id,student\_id→name}
- Here this relation has two candidate keys {name,course} and {student\_id,course}. Each of those composite key has common attribute course.
- Here the relation grade is not in BCNF because the dependencies {student\_id→name}and {name→student\_id} are non trivial and there determinants are not superkey of relation grade.

*Drawback of this relation (which is not 3NF)*

- Data redundancy: The association between name and corresponding student\_id are repeated.
- Update problem: Any changes in one of these attribute value (name or student\_id) has to be reflected all tuples; otherwise there will be inconsistency in the database.
- Insertion problem: The student\_id can not be associated with the student name unless the student has registered in a course.
- Deletion problem: The association between student\_id and student name is lost if the student deletes all courses he/she is registered in.
- Solution ?  
student\_info=(#student\_id,name)  
grade(#student\_id,course,grade)

These problems of relation schema in 3NF occur since relation may have overlapping candidate keys. BCNF removes this problem. So it is stronger than 3NF.

Example: Let us consider the relational schema  
Student\_info=(student\_id,name,phone\_no,major)

where student\_id, name and phone\_no assumed to be unique in this relation. The following FDs satisfy this relation.

FDs={student\_id→name,student\_id→phone\_no,student\_id→major, name→student\_id,  
name→phone\_no, name→major,  
phone\_no→student\_id,phone\_no→name,phone\_no→major}

Here each non trivial FD involves candidate key as determinant. Hence the relation 'student\_info' is in BCNF.